

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Daniel R. Johnson and Alok Mehta  
Application No.: 09/891,911 Group: 3624  
Filed: June 26, 2001 Examiner: Bashore, Alain L.  
Confirmation No.: 1408

For:

METHOD AND SYSTEM FOR EVALUATION OF POTENTIAL  
FUNDING SOURCES FOR FINANCIAL PLANS



|   |                 |
|---|-----------------|
| CERTIFICATE OF MAILING OR TRANSMISSION  |                 |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on: |                 |
| 09-15-04  | Donna Bartolone |
| Date  | Signature       |
| Donna Bartolone   |                 |
| Typed or printed name of person signing certificate   |                 |

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment for filing in the above-identified application.

[ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.

[ ] A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

|  | (COL. 1)                                  | (COL. 2) | (COL. 3)                              | SMALL ENTITY     | OTHER THAN<br>SMALL ENTITY |
|--|---|----------|---------------------------------------|------------------|----------------------------|
|  | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |          | HIGHEST NO.<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA |                            |
| TOTAL  | 58  | MINUS    | * 56                                  | 2                |                            |
| <b>FIRST PRESENTATION OF MULTIPLE DEP. CLAIM</b> |   |          |                                       |                  |                            |
|  |   |          |                                       | RATE             | ADDIT.<br>FEE              |
|  |   |          |                                       | X \$ 9           | \$                         |
|  |   |          |                                       | X \$43           | \$                         |
|  |   |          |                                       | + \$145          | \$                         |

\* not fewer than 20

\*\* not fewer than 3

TOTAL = \$ 0

TOTAL = \$ 208

RECEIVED  
SEP 22 2004  
GROUP 3600

Please charge Deposit Account No. 08-0380 for the following fees:

[ ] Petition for [ ] month Extension of Time \$ \_\_\_\_\_  
[ ] Amendment Fee \$ \_\_\_\_\_  
[ ] Other Fees: \_\_\_\_\_ \$ \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
TOTAL: \$ 0

A check is enclosed in payment of the following fees:

[X ] A general authorization is hereby granted to charge Deposit Account No. 08-0380 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

Respectfully submitted,

## HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Todd A. Gerety  
Todd A. Gerety  
Registration No.: 51,729  
Telephone (978) 341-0036  
Facsimile (978) 341-0136

Concord, Massachusetts 01742-9133

Dated: 9/15/04